

Meeder Retirement Portfolios Risk Tolerance Profile Questionnaire and Portfolio Selection Form

PARTICIPANT NAME

PLAN NAME

PLAN NUMBER

SOCIAL SECURITY NUMBER

PHONE NUMBER

Please check the box that corresponds to your desired portfolio.

Investment Strategy Portfolios

Please complete the Risk Tolerance Profile Questionnaire below to assist you with selecting an Investment Strategy Portfolio. Please sign and date Page 2 after selecting a Portfolio.

OR

Age-Based Portfolios

Birth Year: _____

You will be placed into the Age-Based Portfolio that coincides with your current age. As you move closer to the average retirement age of 65, we will automatically move your assets to the next most conservative portfolio. **Please sign and date Page 2 (you do not need to complete the Risk Tolerance Profile Questionnaire).**

RISK TOLERANCE PROFILE QUESTIONNAIRE

(Only complete if selecting an Investment Strategy Portfolio)

This questionnaire is designed to help you determine which Investment Strategy Portfolio is most appropriate for you by measuring your risk tolerance, time horizon, and investment objectives. It does not consider your specific financial or tax situation and is not intended to be investment advice. For additional guidance on which portfolio may be right for you, please speak with the financial representative for your Plan.

1. I expect to start withdrawing money from my account in:

- 0–5 years (1 point)
- 5–10 years (3 points)
- 10–20 years (5 points)
- More than 20 years (7 points)

2. What is your primary investment goal?

- Avoid loss of initial investment value (1 point)
- Stable returns on investment (3 points)
- Obtain modest long-term growth (5 points)
- Maximize long-term growth (7 points)

3. If the stock market gained 20% in any given year, I would expect a minimum return of:
- 5–10% (1 point)
 - 10–15% (3 points)
 - 15–20% (5 points)
 - Equal to or greater than the return of the stock market (7 points)
4. Which statement describes most accurately your tolerance for risk?
- I am not willing to accept any loss in portfolio value in order to achieve my investment goals (1 point)
 - I can accept small declines in value in order to achieve my investment goals (3 points)
 - I can accept moderate declines in value in order to achieve my investment goals (5 points)
 - I am willing to accept substantial declines in portfolio value in order to achieve my investment goals (7 points)
5. From February of 2020 to March of 2020, stocks lost over 30%. Based on my long-term investment strategy, during this time period, it would have been acceptable for me to have lost:
- 0 to 10% (1 point)
 - 10 to 20% (3 points)
 - 20 to 30% (5 points)
 - More than 30% (7 points)

____ **TOTAL SCORE. Please select the portfolio that corresponds with your total score below.**

MEEDER INVESTMENT STRATEGY PORTFOLIO SELECTION

PORTFOLIO SELECTION – Only one portfolio strategy may be selected per account. Based on the questionnaire score and my discussions with my Financial Adviser, I select the portfolio below:

- 5–10 points: 1. Conservative Portfolio (30% Defensive Growth / 70% Defensive Fixed Income)
- 11–15 points: 2. Moderate Conservative Portfolio (50% Defensive Growth / 50% Defensive Fixed Income)
- 16–20 points: 3. Balanced Portfolio (70% Defensive Growth / 30% Defensive Fixed Income)
- 21–27 points: 4. Moderate Growth Portfolio (100% Defensive Growth)
- 28–32 points: 5. Growth Portfolio (100% Growth)
- 33–35 points: 6. Aggressive Growth Portfolio (100% Aggressive Growth)

ALTERNATIVE BALANCED PORTFOLIOS AVAILABLE FOR PARTICIPANT SELECTION – The following Portfolios have a static allocation to Equity and Fixed Income at all times.

- 7. Balanced Income (40% Growth / 60% Defensive Fixed Income)
- 8. Balanced Growth (60% Growth / 40% Defensive Fixed Income)

Important Note: If you select more than one Investment Strategy Portfolio, it will delay the processing of your Portfolio Change.

SIGNATURE AND DATE

NAME

DATE

SIGNATURE