

**INSTRUCTIONS**

- Please read these instructions carefully before completing and submitting a Tax Managed Portfolio Proposal Request.
- Tax managed strategies are available only for non-qualified assets.
- An initial minimum investment of \$750,000 is required. No exceptions will be made.
- If there are less than \$30,000 in unrealized gains in the account, the transition will be completed in the tax year that the account is funded. Longer transition periods will not be accepted.
- If the unrealized capital gains in the account are \$30,000 or more, the client may select any of the available transition periods.
- After a transition is completed, the maximum annual capital gains tax budget in subsequent years will be 5% of the portfolio value as of January 1.
- A Position to Exclude is a security that you do not want purchased for your account. A Position to Keep is a security you currently own that you do not want sold. If you are requesting to exclude or keep a position, please indicate the security name and ticker symbol on the request form. For a Position to Keep, also include the number of shares to be kept.
- Please complete all sections of the form and submit to the Investment Consulting Team by email at

**REQUIRED INFORMATION:** Please complete all information to avoid any delay in processing your request.

Representative Name: \_\_\_\_\_ Client Name: \_\_\_\_\_ Client Email: \_\_\_\_\_

Estimated Investment Amount: \_\_\_\_\_ Estimated Unrealized Capital Gains: \_\_\_\_\_ Client Age: \_\_\_\_\_ Client Income: \_\_\_\_\_

**Tax Status**

Taxable/Non-Qualified

Qualified (STOP) Tax managed are strategies are not available

**Manager/Strategy**

- Conservative 20/80
- Moderately Conservative 40/60
- Balanced 60/40
- Growth 80/20
- Equity 100/0

**Investment Profile (select one)**

Fixed Income	Conservative	Conservative Growth	Moderate Growth	Growth	Aggressive Growth
For investors whose primary objective is current income, and do not want even minimal short-term price fluctuations due to equity exposure.	For investors whose primary objective is current income, but can tolerate minimal short-term price fluctuations as a trade-off for protection against the erosion to purchasing power caused by inflation.	For investors who prefer current income to capital appreciation, but are willing to tolerate some price fluctuations associated with equity (stock) investments.	For investors whose primary objective is capital appreciation and to whom current income is of secondary importance. A moderate growth investor is willing to tolerate price fluctuations.	For investors whose primary objective is long-term capital appreciation and who are willing to tolerate potentially large price fluctuations. Generating income is not a primary goal.	For investors whose primary objective is maximum long-term capital appreciation and who are willing to tolerate more substantial, potentially large price fluctuations. Generating current income is not a goal.

**Tax Management Preferences**

Please check all that apply:

Transition current portfolio to a tax managed strategy to mitigate capital gains tax exposure.

Ongoing portfolio management with a focus on tax loss harvesting and other tax mitigation techniques.

Type of account, select one: Individual Joint Trust Other: \_\_\_\_\_

Tax Bracket: 37% 35% 32% 24% 22% 12% 10%

Tax Transition Period: Current Tax Year 2 Years 3 Years 4 Years 5 Years

[If less than \$30,000 gains, only "current tax year" is permitted.]

Positions to exclude [security name & ticker]:

Positions to keep [security name & ticker]:

Income Distribution Amount (if applicable):

Income Distribution frequency (if applicable):

Monthly Quarterly Semi-Annually Annually

Additional Notes:

**Disclosure Delivery**

I understand that prior to presenting the proposal to the client I must use the One-Button email system on POL to deliver to the client the following disclosures and agreements electronically or to document paper delivery. I also understand that the manager's Form ADV and Form CRS must be included with the proposal when delivered.

- PFSI's Customer Relationship Summary (Form CRS);
- "Investing with Primerica" brochure / Green Brochure;
- Primerica Advisors Lifetime Investment Platform Wrap Fee Program Brochure (Form ADV Part 2A);
- Primerica Advisors Lifetime Investment Platform Advisory Agreement;
- TD Ameritrade Institutional Disclosure Packet;
- Primerica's Privacy Policy; and
- Agent Brochure (Form ADV Part 2B).

Email \_\_\_\_\_ Date: \_\_\_\_\_ Phone: \_\_\_\_\_

Representative ID: \_\_\_\_\_ Representative Signature: \_\_\_\_\_